

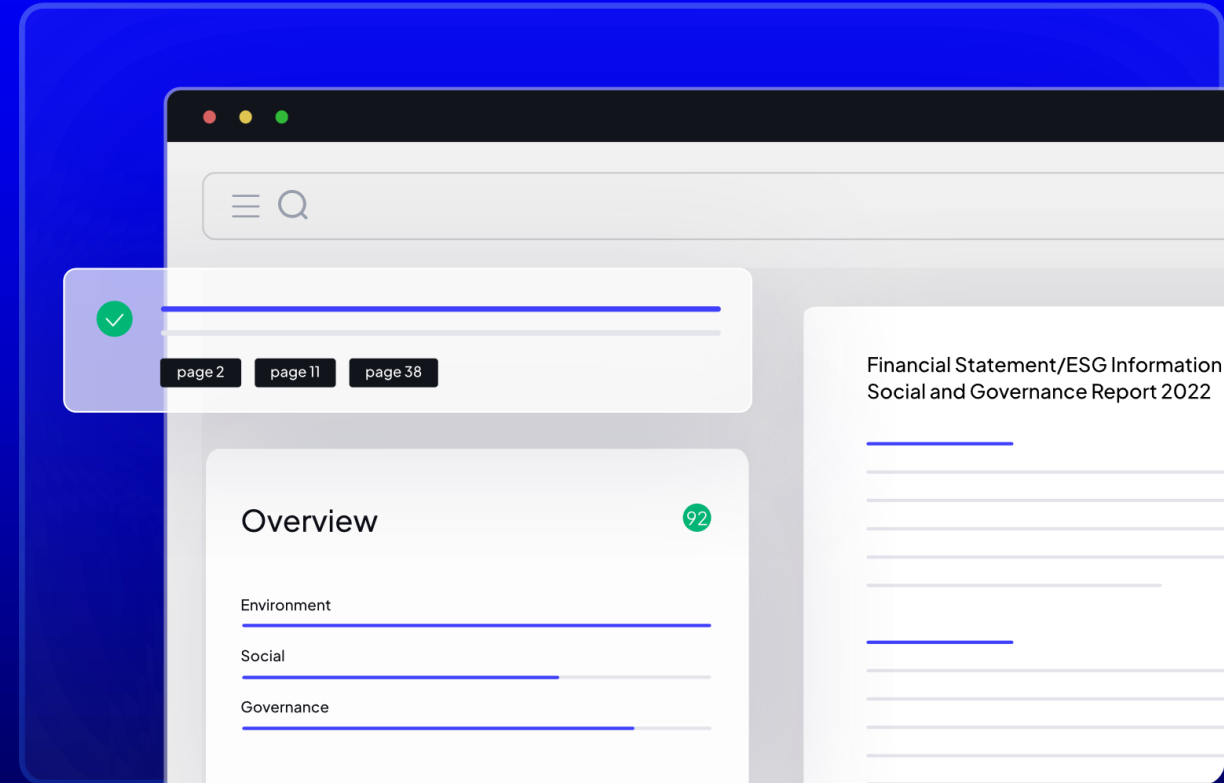
**DILIGENCE**

# **Product Security Overview**



**Diligence** is a governance, risk, and compliance platform that augments operational risk and audit processes. Diligence empowers issuers to improve public disclosure quality and better engage key stakeholders, including employees, auditors, investors, analysts, and rating agencies.

**Wizpresso** is a market leader in data and regulatory reporting solutions, providing cutting-edge technology to financial market practitioners and enterprises across the globe.

**WIZPRESSO**

# Cybersecurity Overview

The **Wizpresso information security program** is designed to ensure your data is protected. Our program follows the concept of defense in depth: securing your data at every layer.

## **Control Layer**

Cybersecurity measures secure our application, infrastructure, data, and network.

## **Governance Layer**

Dedicated information security functions monitor system breaches, malfunctions, disruptions, or attacks. Our security program is regularly reviewed, and all staff undergo rigorous screening and training.

## **Audit Layer**

All systems and processes are periodically reviewed and tested by 3<sup>rd</sup> party security partners. Wizpresso is ISO27001:2013 certified.



# Cybersecurity Architecture

By implementing a set of controls, including policies, processes, organizational structures, software functions, and settings, we ensure our systems meet enterprise-grade security best practices and standards. Controls have been established to minimize risk and protect information assets required to meet the operational, financial, and regulatory requirements to safeguard client data and privacy.

Our controls target to achieve the following:



## Security

to prevent unauthorized access to sensitive information



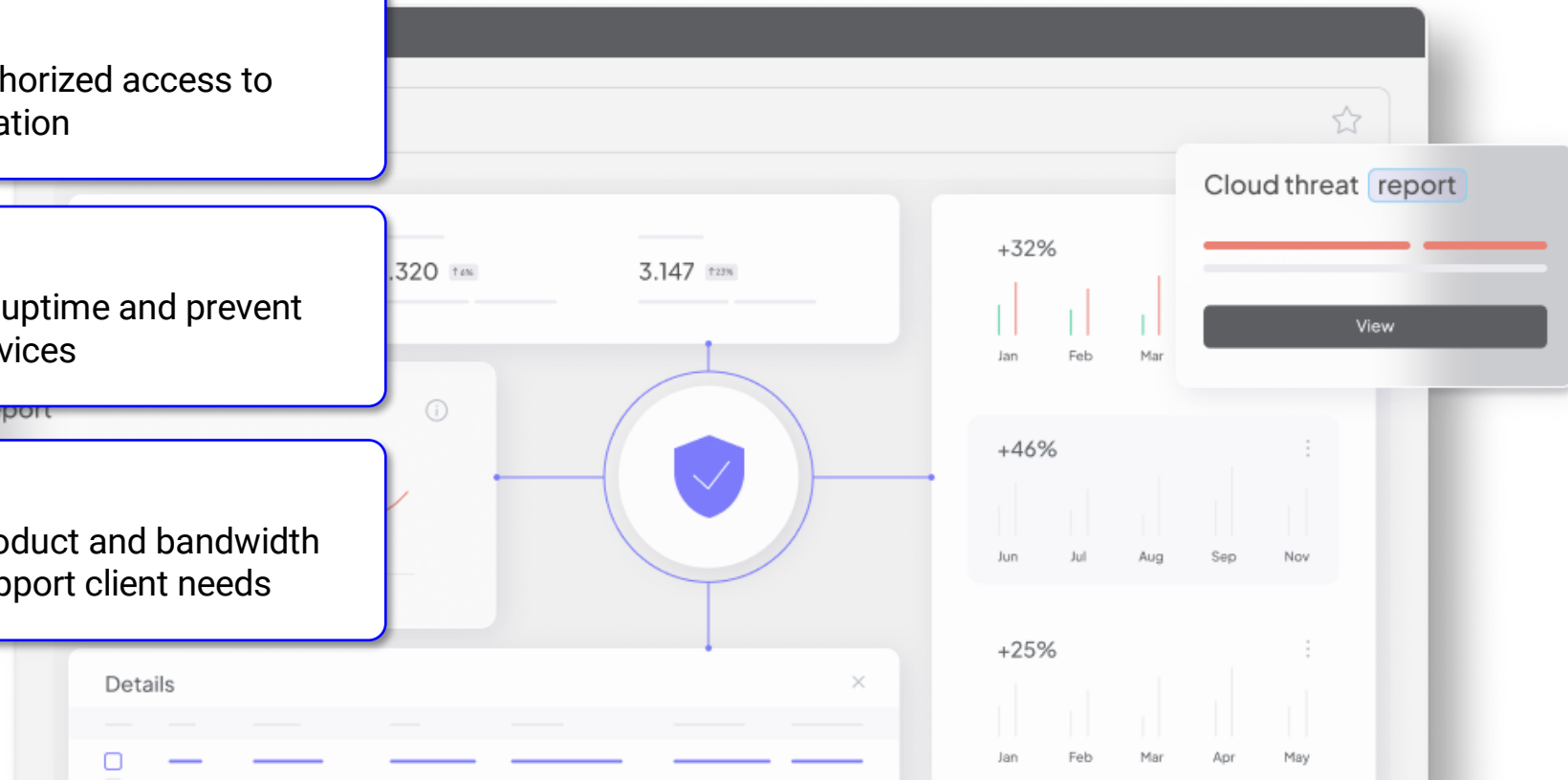
## Availability

to ensure 99.8% uptime and prevent disruption of services



## Efficiency

to ensure our product and bandwidth is scalable to support client needs



# Governance Controls

Wizpresso’s robust governance ensures that our software is secured by design throughout the product lifecycle. By integrating security practices into the product development environment, we lay a firm security foundation at the source code level.



## Data Privacy

**Your data belongs to you.** Wizpresso respects the data privacy of all individuals and corporations and pledges to comply with the requirements of the Personal Data (Privacy) Ordinance of Hong Kong (“PDPO”) so that the privacy of your data is protected in accordance with the standard required by law.

**We do not engage in any activities selling your data to 3<sup>rd</sup> parties.**

Read our policies to learn more about how we manage your data.

[Data Policy](#)

[Terms of Use](#)



# Cybersecurity measures

Wizpresso ensures the integrity of client data and access through industry standards and best-in-class security provisioning. The table below highlights our cybersecurity commitments for Diligence.

Security	Status	Description
Database encryption	✓	Data at rest is encrypted with the AES-256 standard
Data encryption in transit	✓	Data in transit is end-to-end encrypted with RSA and AES-256 standards. All connections use TLS/SSL encryption (version TLS 1.2)
Document encryption	✓	Document data is scanned and encrypted with the AES-256bit
Key management encryption	✓	Cryptographic keys encrypt data using FIPS 140-2 Level 3 certified cloud-based hardware security modules
Multi-factor authentication	✓	Identification management using phone-based or app-based OTP supported
Single sign-on	✓	Identification management integrated with Active Directory supported
Penetration testing	✓	Exhaustive annual test by 3 <sup>rd</sup> party with priority remediation of any security vulnerability issues
Application security testing	✓	Performed continuously on software with priority remediation of any security vulnerability issues
Vulnerability assessment	✓	Application and source codes are scanned before deployment and at least annually
Threat management	✓	Infrastructure is protected by threat detection services to monitor our servers against unauthorized behaviour
DDoS Protection	✓	Web application is protected by DDoS protection service 24/7 to safeguard against network and transport layer DDoS attacks
Firewall	✓	Firewall to protect our application or APIs against web exploits and bots that may impact availability, compromise security, or consume excessive resources.
Backup Protocol	✓	Comprehensive backup and recovery process to retain snapshots of data every 24 hours
RTO/RPO	✓	Multi-availability zones to ensure RTO/RPO is in minutes

# Security Statement

Diligence offers an easy-to-use interface to make compliance workflows smoother, faster, more efficient, and secure.

Wizpresso understands the importance of maintaining a comprehensive and robust Information Security Program that spans software, hardware, network, and data security solely focused on safeguarding our products and our client's data. Wizpresso aims to be a trusted partner to our clients.

## Contact Us

[support@wizpresso.com](mailto:support@wizpresso.com)

+852 3618 5854